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TO/SB/05 (03-01)

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UTILITY
PATENT APPLICATION

Attorney Docket No.
First Na.

cket No. 10103-004 Total Pages 46

TRANSMITTAL
(Only for new nonprovisional applications under 37 CFR 1.53(b))

First Named Inventor or Application Identifier

Express Mail Label No. EL 477 032 938 US

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.						Assistant Commissioner for Patents  ADDRESS TO: Box Patent Application Washington, DC 20231					
		Fee Transmittal Form Submit an original, and a duplicate for fee processing)		.,	7. 🗆	CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)					
2.	□,	Applicant claims Small Entity status, see 37 C.F.R. § 1.27			8. 🗆	Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)					
3.	⊠ ;	Specification [Total F	⊃age	s <u>32</u> ]	a.	☐ Computer Readable Copy					
		(preferred arrangement set forth below) -Descriptive title of the Invention			b.	☐ Paper Copy (identical to computer copy)					
		-Cross Reference to Related Applications									
		-Statement Regarding Fed sponsored R&D			c.	☐ Statement verifying identity of above copies ☐					
		-Reference to Microfiche Appendix									
	in the second	-Background of the Invention				ACCOMPANYING APPLICATION PARTS					
		-Brief Summary of the Invention									
	IJ.	-Brief Description of the Drawings (if filed) -Detailed Description of the Invention (including drawings, if f.				Assignment Papers (cover sheet & document(s))					
	8 : 5	-Claim(s) -Abstract of the Disclosure			10. 🗆	37 CFR 3.73(b) Statement ☐ Power of Attorney (when there is an assignee)					
4.	×	Drawing(s) (35 USC 113) [Total 3	Shee	ets 7]	11. 🗆	English Translation Document (if applicable)					
5.	×	Oath or Declaration [Total :			12. 🗆	Information Disclosure Statement ☐ Copies of IDS (IDS)/PTO-1449 Citations					
		☐ Newly executed (original or copy)			13. 🗆	Preliminary Amendment					
		<ul> <li>☑ Copy from a prior application (37 CFR 1.63(d))</li> <li>(for continuation/divisional with Box 19 completed)</li> </ul>				Return Receipt Postcard (MPEP 503) (Should be specifically itemized)					
i. DELETION OF INVENTORS(S)						15. ☐ Certified Copy of Priority Document(s)  (if foreign priority is claimed)					
		Signed statement attached deleting inventor(s) named application, see 37 CFR 1.63(d)(2) and 1.33 (b).	he prior	16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(i).  Applicant must attach form PTO/SB/35 or it's equivalent							
6.		Application Data Sheet. See 37 CFR 1.76			17. 🗆	Other:					
18		a CONTINUING APPLICATION, check appropriate box and Continuation Divisional Continuation-in-part		ly the requis ) of pric	<i>ite infor</i> r applic	rmation: cation No: 09/403,707 filed March 17, 200.					
		19. CORF	RESF	ONDENCE	ADDRE	ESS					
		Customer Number: (Insert Customer I	or □ Correspondence address below								
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Al	DDRL	ESS									
C	TY			STATE		ZIP CODE					
	OUN	TRY	TE	LEPHONE		FAX					

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

Express Mail No.: <u>EL 477 032 938 US</u>

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Prior application:		Examiner P. Brunovskis											
		Art Unit_1632											
Box	istant Commission PATENT APPLI Shington, D.C. 202	CATION											
Sir:	<del></del>												
		is a request for filing tion no. 09/403,707			application under	37 CFR § 1.53(b), of							
off	Geoffrey Goldspi	nk (inve	entor(s) currently of re	ord in prior applic	ation)								
	(inventor(s) currently of record in prior application)												
for _	EUKARYOTIC GENE EXPRESSION CASSETTE AND USES THEREOF (title of invention)												
	1.												
	PATENT APPLICATION FEE VALUE												
	TYPE			EXTRA	EXTRA RATE	FEE							
	Total Claims			10	\$18.00 each	\$ 180.00							
	Independent	5	-3	2	\$80.00 each	\$ 160.00							
				\$ 710.00									
		\$ 0.00											
			\$ 1,050.00										
		50% Reduction for Independent Inventor, Nonprofit Organization or Small Business Concern - \$ 525.00											
				Total Filing F	ee	\$ 525.00							
	2.		Please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150. A copy of this sheet is enclosed.										
	3.   Amend the specification by inserting before the first line the following sentence: This is a continuation of application no. 09/403,707, filed March 17, 2000.												
	4a.	□ New forma	New formal drawings are enclosed.										

4b. Informal drawings are enclosed. 5a. × Priority of application no. PCT/GB98/01198 filed on April 24, 1998 in EPO is claimed under 35 U.S.C. §119. 5b. The certified copy has been filed in prior application no., filed. 6. × The prior application is assigned of record to University College London. 7a. X The Power of Attorney appears in the original papers in the prior application no. PCT/US98/01198, filed April 24, 1998. 7b. Since the Power of Attorney does not appear in the original papers, a copy of the Power in prior application no., filed is enclosed. 8. This application contains nucleic acid and/or amino acid sequences required to be disclosed in a Sequence Listing under 37 CFR §§1.821-1.825. It is requested that the Sequence Listing in computer readable form from prior application no., filed on be made a part of the present application as provided for by 37 C.F.R. §1.821(e). The sequences disclosed therein are the same as the sequences disclosed in this application. A copy of the paper Sequence Listing from application no. is enclosed. 9. The undersigned states, under 37 C.F.R. §1.821(f), that the content of the enclosed paper Sequence Listing from application no. is the same as the content of the computer readable form submitted in application no. . 10. Additional enclosures or instructions. Respectfully submitted. Date December 5, 2001 Laura A. Coruzzi

New York, N.Y. 10036-2711 (212) 790-9090

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